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PATENT  
5589-06800/P1270

FEB 17 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Shi et al.

Serial No. 10/698,222

Filed: October 31, 2003

For: NON-CONTACT METHODS FOR  
MEASURING ELECTRICAL  
THICKNESS AND DETERMINING  
NITROGEN CONTENT OF  
INSULATING FILMS

Group Art Unit: 2863  
Examiner: Toan M. Le

Atty. Dkt. No. 5589-06800

I hereby certify that this correspondence is being transmitted  
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Class Mail in an envelope addressed to: Commissioner for Patents,  
P.O. Box 1450, Alexandria, VA 22313, on the date indicated  
below:

2-17-06  
Date

Pamela Gerik  
Pamela Gerik

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449  
and/or ☐ the additional information identified below in paragraph 3. A copy of each reference listed on  
the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

- a. ☐ within 3 months of the filing date of a national application other than a continued  
prosecution application under § 1.53(d);  
☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in  
an International application;  
☐ before the mailing date of a first Office Action on the merits; or  
☒ before the mailing of a first Office Action after the filing of a request for  
continued examination under § 1.114.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final  
Office Action or Notice of Allowance, and thus: ☐ the certification of  
paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior  
to payment of the issue fee, and thus: the certification of paragraph 2 below is  
provided and a fee of \$180.00 is enclosed.

## 2. It is hereby certified:

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

## 4. For each non-English language reference listed on the attached Form PTO-1449:

- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) \_\_\_\_\_, and/or
- ☐ reference is made to the concise explanation set forth below:

5. ☐ Applicant also offers the following comments for the Examiner's consideration:6. ☐ Also enclosed is a copy of a foreign search report citing these references.7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Daffer McDaniel, LLP Deposit Account No. 50-3268/5589-06800.

Respectfully submitted,



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